Report 1C: Report of Redressal of Complaints lodged by clients against Trading Members (TMs) during 2009-10: Updated on 09.30.2009

Sl. No.	Name of the TM	Defaulter (Yes/No)	No. of UCCs at the beginning of the year	No. of Complaints received against the TM*	Of the Complaints received during 2008-09							
					No. of Complaints							
					Resolved through the Exchange /IGRC	Non actionalble*	Arbitration Advised	Pending for redressal with Exchange	No. of Arbitration filed by Clients	Decided by the Arbitration	Decided by Arbitration in favor of the clients	Pending for Redressal with Arbitrators
					NIL							

*including against its sub-brokers, authorized persons, employees, etc.

**Non actionable means the complaint that are incomplete / outside the scope of stock exchange

(Arrange the Trading Members in descending number of complaints filed against them during the period)