Report 1A: Complaints received from clients against Trading Members (TMs)# during 2009-10: Updated on 30.11.2009

Sl. No.	Sr. No.	Details of Complaint			Details of TM			Status of Complaint				
		Date of Receipt	Name of Client	Type of Complaint	Name of TM	Market Segment	If Defaulter Date of its Declaration	Status*	Status Date ##	Date of Filling Arbitration	Name of Arbitrator(s)	Date of Arbitration Award
1	1	10-09-2009	Ragini B. Shah	IV B	Dushyant M. Shah	TM		Closed (Transferred to ACML)				
2	2	10-09-2009	Chandrakant P. Thakkar	1B	RaJesh N.	TM		Closed (Time barred)				
3												
4												
5												
6												
7												
8												
9												
10												
11												
N												
Total												

including against its sub-brokers, authorized persons, employees, etc.

Status date is the date of resolution/reference to arbitration/finding it non-actionable. If under process, it is the date of updation of this sheet.

*/** As per Table 1A